### 2728 FREQUENTLY ASKED QUESTIONS (NETWORK 16)

**Jump to:** [2728 General Questions](/2728-General-Questions) / [2728 - Section A](/2728-Section-A) / [2728 - Section B](/2728-Section-B) / [2728 Section C](/2728-Section-C) / [2728 Section D](/2728-Section-D) / [2728 Section E](/2728-Section-E) / [2728 Section F](/2728-Section-F) / Other 2728 Form Types: [Re-Entitlement 2728](/Re-Entitlement-2728) / [Supplemental 2728](/Supplemental-2728)

<table>
<thead>
<tr>
<th>General</th>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
<tbody>
<tr>
<td>When is the 2728 form due?</td>
<td>Within 45 days of the date they started at your facility (Field 25).</td>
<td></td>
</tr>
<tr>
<td>How long do we need to keep a copy of the 2728 form?</td>
<td>This is up to your individual facility policy. At Network 16, we keep all 2728 forms for 2 years after the patient’s date of death.</td>
<td></td>
</tr>
<tr>
<td>I need more 2728 forms. How can I get more?</td>
<td>CROWNWeb users can print them from the Reports Page. Non CROWNWeb users can request them from your local social security office. Please check to make sure you have received the most current version. (Form CMS-2728-U3 (03/06)).</td>
<td></td>
</tr>
<tr>
<td>I use CROWNWeb. Do I still need to mail a hard copy to the Network?</td>
<td>If you use CROWNWeb, you do not need to mail a hard copy of the form to the Network. You do need to mail the printout with the signatures in blue ink to SSA if the patient is applying for ESRD Medicare coverage.</td>
<td></td>
</tr>
<tr>
<td>The patient started at my unit as a New to ESRD patient but doesn’t give me the option to create the 2728 form in CROWNWeb.</td>
<td>Check you admit reason to make sure it was not erroneously submitted/entered with an admit reason other than New to ESRD.</td>
<td></td>
</tr>
<tr>
<td>If the patient already has Medicare, do I need to submit a form?</td>
<td>Yes. The ESRD Medical Evidence Report serves as both a Medicare entitlement form and as a registry for new ESRD patients. In addition, it is possible the patient is entitled to more benefits if applying for ESRD Medicare coverage.</td>
<td></td>
</tr>
<tr>
<td>The patient is ACUTE – Do I submit a 2728?</td>
<td>Do NOT submit a 2728 for any patients who are ACUTE. Only submit for chronic ESRD patients.</td>
<td></td>
</tr>
<tr>
<td>The patient had a prior kidney transplant but no prior dialysis before coming to my facility. Do I fill out an initial 2728 form?</td>
<td>Do not fill out an initial 2728 form. If it has been greater than 3 years since the transplant, you will fill out a Re-Entitlement 2728 form. (Click here for more details on Re-entitlement 2728 forms).</td>
<td></td>
</tr>
</tbody>
</table>

### Section A

<table>
<thead>
<tr>
<th>Question</th>
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<tbody>
<tr>
<td>8-10 What do I put for country or area of origin in field 9?</td>
<td>Please put whatever country the patient most associates himself or herself with. Only fill this out if patient is...</td>
</tr>
<tr>
<td></td>
<td>Hispanic or Latino (Field 8) or Native Hawaiian or Other Pacific Islander (Field 10).</td>
</tr>
<tr>
<td>---</td>
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</tr>
<tr>
<td>8-10</td>
<td>The patient is Hispanic or Latino. Do I need to fill in a race in field 10?</td>
</tr>
<tr>
<td>8-10</td>
<td>What are the requirements for reporting race and ethnicity on the 2728?</td>
</tr>
<tr>
<td>8-10</td>
<td>What do I do if I am unable to obtain a self-reported race and/or ethnicity for the 2728?</td>
</tr>
<tr>
<td>10</td>
<td>The patient is multi-racial. What do I check on the form?</td>
</tr>
<tr>
<td>11</td>
<td>The patient already has Medicare. Do I always mark this field (Is Patient Applying for ESRD Medical Coverage?) as “No” for these patients?</td>
</tr>
<tr>
<td>16</td>
<td>The patient fits multiple prior and current employment statuses. Can I check more than one?</td>
</tr>
<tr>
<td>18a-c</td>
<td>There is no option for &lt; 6 months. How do I mark this?</td>
</tr>
<tr>
<td>18d</td>
<td>In field 18d, the nephrologist marked that a graft was the access used on the first outpatient dialysis. Do I need to mark the follow up questions?</td>
</tr>
<tr>
<td>19</td>
<td>I don’t have an HbA1c or Lipid Profiles for the patient (Field 19d-e). Can I submit the form?</td>
</tr>
<tr>
<td>19</td>
<td>I have labs that are within 45 days but they are after the nephrologist signed. Can I use them?</td>
</tr>
</tbody>
</table>

Last update 04/27/2012 Northwest Renal Network
Section B

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<thead>
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<tbody>
<tr>
<td>What date do I use as the date regular chronic dialysis began?</td>
<td>Field 24 is for the patient’s first chronic dialysis ever as determined by the nephrologist. This could be the first time the patient dialyzed at your facility or it could be an inpatient date. Please see the instructions attached to the 2728 form for further details.</td>
</tr>
<tr>
<td>Why does the form say “Date Regular Chronic Dialysis Began Dialysis at Current Facility?” in field 24?</td>
<td>You are using an old version of the 2728 where this was printed incorrectly. “Began dialysis at Current Facility” should have been printed in field 25. Fill out 24 as you have on previous versions of the form using the patient’s first chronic dialysis ever. Field 25 is still the first chronic dialysis at your facility and will be on or after the date in field 24.</td>
</tr>
</tbody>
</table>

Section C

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<tr>
<td>I do not have the preparation hospital information. How do I fill this out?</td>
<td>You can leave this blank. It is not a required field</td>
</tr>
<tr>
<td>The patient is returning to dialysis after a transplant failed. What date do I use here?</td>
<td>You will use the same date you use in field 24 – the first chronic dialysis after the transplant failed.</td>
</tr>
</tbody>
</table>

Section D

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<tr>
<td>Patient began training inpatient prior to coming to our facility. Can I put the inpatient date for the date training began?</td>
<td>Enter the date self-dialysis training began. (While it is expected that this date will be after the date patient started a regular course of dialysis, it should not be more than 30 days prior to the start of a regular course of dialysis.</td>
</tr>
<tr>
<td>I have included training on the form. Where does the nephrologist sign?</td>
<td>If the nephrologist is the attesting physician and the physician familiar with the patient’s training, he or she will sign and date in field #44 and in fields 49/50. If the attesting physician is different from the physician</td>
</tr>
</tbody>
</table>
familiar with the patient’s training, you will need signatures from both nephrologists in the appropriate sections.

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<td>E</td>
<td>Do I use the NPI or UPIN in field 48?</td>
<td>If the signing nephrologist has both an NPI and a UPIN, please fill in the UPIN in this field. If the signing nephrologist only has an NPI, please fill in the 10-digit NPI in this field.</td>
</tr>
<tr>
<td>49/50</td>
<td>I have included training on the form. Where does the nephrologist sign?</td>
<td>If the nephrologist is the attesting physician and the physician familiar with the patient’s training, he or she will sign and date in field #44 and in fields 49/50. If the attesting physician is different from the physician familiar with the patient’s training, you will need signatures from both nephrologists in the appropriate sections.</td>
</tr>
<tr>
<td>49/50</td>
<td>Can a PA sign the 2728 form?</td>
<td>No. The CMS 2728 must be signed by the treating physician (MD or DO) who is knowledgeable of his/her kidney failure. An APRN or PA cannot sign this form in lieu of a physician based on Social Security's definition of &quot;physician.&quot; The instructions for the CMS 2728 do not allow the use of signature stamps.</td>
</tr>
<tr>
<td>51</td>
<td>What is field 51 – Physician Recertification signature?</td>
<td>This is for SSA purposes only. It can be used to re-submit the original 2728 for patients who initially did not apply for Medicare as a new ESRD patient but are choosing to apply at a later date. Please contact social security for further instructions. Network 16 does not require a copy of a 2728 being submitted for this purpose. This cannot be submitted as a substitute for submitting a re-entitlement 2728 form, required for patients returning after a transplant failure &gt; 3 yrs or returning after they have stopped dialysis &gt; 1 year (regain function or discontinue patient).</td>
</tr>
<tr>
<td>F</td>
<td>The patient died before signing the 2728 form. How do I handle this?</td>
<td>Please submit the form with the date of death written in the remarks section (field 53) along with a comment indicating the patient expired before they were able to sign the 2728. Please leave the patient signature and date fields (Fields 54/55) blank.</td>
</tr>
</tbody>
</table>
| 54/55   | The patient stopped dialysis but has not died (ex. Pt discontinued, Regained Function or is Lost to | No. You must get a signature from the patient, a family member or spouse of the patient or a POA. The Network cannot accept forms without a signature in
Follow-Up). Can I submit the form without a signature for field 54?

Other Form Types

### Re-Entitlement 2728

<table>
<thead>
<tr>
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| When do I do a Re-Entitlement 2728? | Submit a Re-entitlement 2728 for patients resuming treatment when:  
- Pt is resuming outpatient dialysis and it is greater than 1 year after a discontinue or regain function  
- Pt is resuming outpatient dialysis after a kidney transplant failed and the kidney transplant was greater than 3 years prior  
- Pt is having another kidney transplant greater than 3 years following a prior transplant with no dialysis between  
**Do NOT submit a Re-entitlement 2728 form** for a patient returning after a transplant > 3 years **unless the transplant has been verified failed** (verified the transplant will not function again). |
| If a patient had a prior kidney transplant but has never been on dialysis before, what type of 2728 do I check? | The type of 2728 form for a patient returning after kidney transplant failure is a “Re-entitlement 2728” even if they have not dialyzed before. |
| If a patient has Medicare already and is returning to dialysis after a failed transplant, discontinue or regain function, do I need to submit a Re-entitlement 2728? | Submit a Re-entitlement 2728 when required (see above for timeline) for all patients returning to dialysis regardless of their Medicare status. |

### Supplemental 2728

<table>
<thead>
<tr>
<th>Question</th>
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<tbody>
<tr>
<td>Who do I submit a supplemental form for?</td>
<td>Patients who started as In-Center Hemodialysis but started PD or Home Hemodialysis training within 3 months of their start date in field 24, or had a transplant within 3 months of their start date.</td>
</tr>
<tr>
<td>Do you do a supplemental form for patients who started within 90 days or do you count by 3 months?</td>
<td>You would count by 3 months. Ex. – A patient started In-Center Hemodialysis on Jan 15th and started CAPD training March 20th needs a supplemental form for their training. This patient does not need a form if they start training on or after April 1st.</td>
</tr>
</tbody>
</table>
| I did a supplemental form when the patient changed to CAPD within 3 months of their In-Center Hemodialysis start date. | No. You do not need to do a new form each time the patient trains on a new modality, only the first time they change to a home or PD modality within 3 months of their initial start. Modality change
<table>
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<tr>
<th>The patient has now switched to CCPD. Do I need to do another supplemental form?</th>
<th>information is reported on the monthly Network Patient Activity Report.</th>
</tr>
</thead>
<tbody>
<tr>
<td>What do I do if the patient did not complete their training and I submitted the form saying they did?</td>
<td>Please contact the Network and SSA immediately.</td>
</tr>
<tr>
<td>I am a transplant facility. It has been less than 3 months since the patient began their initial dialysis but they were doing PD or Home Hemodialysis prior to the transplant. Do I need to do a supplemental 2728?</td>
<td>If the patient completed PD training or Home Hemodialysis training prior to the transplant, a transplant facility does not need to do a supplemental 2728 form in this case, even if it is &lt; 3 months.</td>
</tr>
</tbody>
</table>